

**SVKM'S NMIMS UNIVERSITY
SCHOOL OF DISTANCE LEARNING**

PROGRAM (Semester): PGDFM(III)/ PGDBFM(III)/ ADBFM (III)

Subject: Management of Financial Services

**Marks: 100
Time : 3 hrs**

Date: 02.06.09 (3.00pm to 6.00pm)

Instructions: Candidates should read carefully the instructions printed on the question paper and on the cover of the Answer Book, which is provided for their use.

NB

1. Answer to each new question to be started on a fresh page.
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Q1. Attempt any 2 out of any 4

Marks : 10

A- List out the internal and external source of risk affecting the merchant banking and stock broking services. **(5 Marks)**

B- What are the factors, which have to be taken into account while pricing an issue?
(5 Marks)

C- How a merger can be financed? **(5 Marks)**

D- Write a detailed note on new financial instruments. **(5 Marks)**

Q2. Write Short notes on any 3 out of 5

Marks : 15

A- Define the term financial services. Bring out the importance of such services.
(5 Marks)

B- Explain the organizational structure of a recognized stock exchange in India
(5 Marks)

C- Distinguish between various listed and permitted securities. (5 Marks)

D- Why is trading in government securities is popular? (5 Marks)

E- Discuss the features of different types of schemes, which a mutual fund normally launches. (5 Marks)

Q3. Attempt any 3 out of 5

Marks : 45

A- Explain the meaning of Capital Market and Money Market. Also bring out the similarities and the difference between these two markets. (15 Marks)

B- Critically evaluate the various sources of finance available for financing a project.
(15 Marks)

C- Describe the origin of securitization. Also explain the mechanism of securitization.
(15 Marks)

D- Explain the institutional structure of housing industry along with weaknesses.
(15 Marks)

E- Discuss the recent developments in the field of credit rating. (15 Marks)

Q4. Answer in Detail

Marks : 30

A- What are the emerging dimensions in the financial services offered by banks and Insurance Companies? **(15 Marks)**

B- What do you understand by Venture Capital? How dose Venture Capital is useful for the growth of new entrepreneurship and new industries in India? **(15 Marks)**

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