

SVKM's NMIMS
NMIMS – GLOBAL ACCESS SCHOOL FOR CONTINUING EDUCATION

Programme: PGDBM/PGDFM/PGDITM/PGDBFM

Examination: December 2014
Subject: Financial Institutions & Markets

Semester: III
Course : New
Marks : 70
Time: 3.00 p.m. to 6.00 p.m.

Date: 29.12.2014

Instructions:

1. Answer to each new question to be started on a fresh page.
2. Figures in bracket indicate full marks.

Q1. Discuss or write short notes (Any 2 out of 4)

(Marks: 2×5=10)

- a) Call Option
- b) Securities Exchange Board of India
- c) Insurance Regulatory and Development Authority
- d) Forward Markets Commission

Q2. Write short notes (Any 2 out of 5)

(Marks: 2×5=10)

- a) Fee based services offered by Banks.
- b) Money Market
- c) Role of RBI
- d) Venture Capital
- e) Basel I

Detailed Questions:

Q3. Attempt any 3 out of 5

(Marks: 3×10= 30)

- a) Explain the various fund based and non – fund based services offered by banks.
- b) Define Monetary Policy and explain the various instruments of monetary policy.
- c) Define primary market. What are the key methods of issuing securities in the primary market?
- d) What are the types of services offered by NBFCs?
- e) What are the benefits of trading in Commodity Exchanges?

Q4. Answer both Questions

(Marks: 2x10 = 20)

- a) What are the functions of a Stock Exchange?
- b) What are the various types of Banks that operate in India?
