

**SVKM's NMIMS**  
**NMIMS – GLOBAL ACCESS SCHOOL FOR CONTINUING EDUCATION**

Programme: PGDBM/PGDFM/PGDITM/PGDBFM

Examination: June 2016  
Subject: Financial Institutions & Markets

Semester: III  
Course : New  
Marks : 70  
Time: 3.00 p.m. to 6.00 p.m.

Date: 13.06.2016

**Instructions:**

1. Answer to each new question to be started on a fresh page.
2. Figures in bracket indicate full marks.

**Q.1) Attempt any 2 out of 4**

(Marks: 2X5=10)

- a) What are the various services provided by NBFCs?
- b) Discuss the key regulatory bodies of Financial Institutions in India.
- c) Enumerate the methods of issuing securities in the Primary market.
- d) What are the various characteristics of Derivatives?

**Q.2) Write short notes on (2 out of 5)**

(Marks: 2X5=10)

- a) Forward Markets Commission.
- b) Development Financial Institutions.
- c) Swaps
- d) Commodity Exchanges.
- e) Anomalies of Capital Market

**Q.3) Attempt any 3 out of 5**

(Marks: 3X10=30)

- a) What are the various functions of RBI?
- b) What are the fund –based and non-fund based services offered by Banks?
- c) Discuss in detail the stages of Venture Capital Investment.
- d) Define Exchange Rate Dynamics. What are the factors determining the exchange rate?
- e) Enumerate the various Quantitative and Qualitative measures of Monetary Policy.

**Q.4) Attempt both the questions**

(Marks: 2X10=20)

- a) Discuss the various components of Indian Financial System.
- b) What are the various instruments of Capital Market?

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